# State of Arkansas Department of Finance And Administration

#### DFA - ARKANSAS TOBACCO CONTROL

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# **Manufacturer Cigarette Only Permit Application**

### Instructions

- The Manufacturer Cigarette Only Permit allows businesses to manufacturer, fabricate, assemble, or process cigarettes, including without limitation federally licensed importers and federally licensed distributors that deal in cigarettes.
- <u>Fully</u> complete this Application. Incomplete Applications will not be processed. The Application *must* be notarized by a notary public and all required documentation *must* be complete and attached to the Application (<u>refer to checklist</u>; <u>Page 7</u>).
- Separate applications must be filed for each established place of business. Further, separate applications must be filed for retail permits and salesperson permits.

#### **Definitions**

- **Cigarette.** "Cigarette" means any product that contains nicotine, is intended to be burned or heated under ordinary conditions of use, and consists of or contains:
  - (i) Any roll of tobacco wrapped in paper or in any substance not containing tobacco;
  - (ii) Tobacco in any form that is functional in the product which, because of its appearance, the type of tobacco used in the filler, or its packaging and labeling, is likely to be offered to or purchased by consumers as a cigarette; or
  - (iii) Any roll of tobacco wrapped in any substance containing tobacco which, because of its appearance, the type of tobacco used in the filler, or its packaging and labeling, is likely to be offered to or purchased by consumers as a cigarette [or as tobacco for making cigarettes]." Ark. Code Ann. § 26-57-260(4)(A).

**NOTE:** Before any manufacturer can sell cigarettes or roll-your-own cigarette tobacco in the State of Arkansas, the manufacturer must first ensure their cigarette brands are approved for listing on the Arkansas "Approved-for-sale Directory. All cigarette brands and styles must receive Fire Safety Standard Certification from Arkansas Tobacco Control.

General Information	
<ol> <li>Do you, Applicant, understand and agree to complete and attach with the application the Retail Permit (Tobacco Products, Vapor Products, Alternative Nicotine Products, or E-Liquid Products) Application Checklist (provided on Page 7 of this Application), and to fully provide the requested documentation and/or information contained in that checklist?</li> </ol>	☐ YES ☐ NO
2. Do you, Applicant, intend to manufacture, fabricate, assemble, or process cigarettes?	☐ YES ☐ NO

	cant a federally licensed importer or federally licensed distributor?  attach the applicable proof of documentation.	☐ YES ☐ NO
your co	Applicant, agree and understand that, under A.C.A. § 26-57-235(d), any cigarettes mpany and/or employees sell into the State of Arkansas must be in packages of (20) or twenty-five (25) cigarettes?	☐ YES ☐ NO
	Applicant, understand that your company must certify and then re-certify your Fire d Complaint (FSC) certificate with Arkansas Tobacco Control every three (3) years?	☐ YES ☐ NO
maintai	Applicant, understand and agree that your company, as a manufacturer, must in three (3) years of tobacco product invoices that are available upon demand by ATC normal business hours in the permitted location?	☐ YES ☐ NO
for each Departm	Applicant verify and agree that your company maintains Certificates of Compliance of its brand families being sold into the State of Arkansas as issued by the ment of Health and Human Services, Centers for Disease Control and Prevention, and ce on Smoking Health with respect to the ingredient list submission under 15 U.S.C.	☐ YES ☐ NO
of Arka	Applicant, verify and agree that your company's cigarette brands sold into the State ansas are listed on the Arkansas Approved-for-Sale Tobacco Products Directory and by the Arkansas Attorney General?	☐ YES ☐ NO
local, fe	Applicant, understand and agree to follow, stay current on, and comply with all deral and state laws, including, but not limited to Arkansas Code Annotated § 4-75-al., Arkansas Code Annotated § 26-57-201, et. al.?	☐ YES ☐ NO
license, State, lo or other	plicant or any person who owns or operates the business been denied a permit, or other authorization to engage in any business by any government agency (Federal, ecal or foreign) or had such permit, license or other authorization revoked, suspended wise terminated?  please attach to this application the details of each occurrence.	☐ YES ☐ NO
found in tobacco	plicant or any person who owns or operates the business ever been convicted of or a violation of any cigarette, tobacco, alternative nicotine, vapor or e-liquid, or other product statute, rule, or regulation?  please attach to this application the details of each occurrence.	☐ YES ☐ NO

12. Do you, the Applicant, consent to allow Arkansas Tobacco Control or Arkansas State Police to conduct a state or national criminal background check on any person listed in this Application?	☐ YES ☐ NO
• Arkansas Code Annotated § 26-57-216(3) prohibits a permit from being issued to any person or business owned or operated by a person who has pleaded guilty or nolo contendere to or been found guilty of a felony.	
13. Do you, Applicant, understand and agree to provide written notice to the Arkansas Tobacco Control Director when the business changes physical locations?	YES NO
An ATC permit holder must receive ATC approval prior to making any sales at a new location.	
14. Do you, Applicant, understand and agree to provide written notice to the ATC Director within thirty (30) days of a change in (i) the managing partner, limited liability company managing member, or president or chief executive officer of a corporation, partnership, or limited liability company; or (ii) the stockholders effecting twenty-five percent (25%) or more of the total voting shares of a nonpublicly traded corporation?	☐ YES ☐ NO
15. Does Applicant owe any taxes to the State of Arkansas?	
If Yes to Question 15, Applicant must satisfy the debt with the appropriate state agency before ATC can approve your permit. Please attach a copy of sales and use tax certificate to this application.	☐ YES ☐ NO
16. Do you, Applicant, understand and agree that each agent or employee of the company who sells or offers for sale to permitted wholesalers or permitted retailers or that solicits for sale, takes orders for, or in any manner promotes the sale or use of tobacco products will obtain a separate Manufacturer's Salesperson's Permit?	☐ YES ☐ NO
<ul> <li>Salespersons must obtain and maintain a permit, available on the ATC website.</li> </ul>	

# [REMAINDER OF PAGE INTENTIONALLY LEFT BLANK – APPLICATION CONTINUES ON NEXT PAGE 4]

# **Business Information**

Permit Fee type: \$500.00 Manufacturer Cigarette Only Permit; N	NO CASH PAYMENTS	
Legal Business/Company Name:		
	agle Owner) □ LLC □ INC □ Partnership □ Corporation □ Other	
	orp. □ S Corp. □ Other Type	
Name of Business if different from Legal Name	ness As" (DBA) name must be filed with the Secretary of State's Office)	
Sales Tax Number:	FEIN: (if applicable)	
Physical Business/911 Address:	Suite/Unit #:	
(Must be numeric address plus street name (exa	ample: 152 Smith St); CANNOT be a P.O. Box or location description)	
City:	County: Zip Code:	
Business Mailing Address (if different):	Suite/Unit #: to receive any and all communication from ATC; CAN include P.O. Box)	
(This is the address where you want to	to receive any and all communication from ATC; CAN include P.O. Box)	
City:	County: Zip Code:	
Store Phone Number: ()	Store Fax Number: ()	
Store I none I tumber.	Stole I ax I validel. ()	
Store Email:	Previous ATC Permit (if applicable):	
<b>Note:</b> Arkansas Tobacco Control primarily uses e-emayour business that is reviewed frequently.	ail to communicate with permit holders. Please provide the most up-to-date email for	
Type of Business (Check all that apply): $\square$ ConvSupermarket $\square$ Gasoline Kiosk $\square$ Wholes	venience Store w/gas □ Convenience w/o gas □ Vapor Store □ sale □ Manufacturer □ Warehouse with retail front □ Other	
Facility information: $\Box$ I own the property $\Box$ I is	rent this property	
If rent/lease, Landlord name:	Phone Number:	
Contact Address:		
Primary O	wner/President/CEO Information	
Owner/President/CEO Demographic Informatio		
Owner/President/CEO Name:	Date of Birth:/	
Home Address:	Suite/Unit #:	
(Must be a numeric address pl	Suite/Unit #:  Plus street name; CANNOT be a P.O. Box or location description)	
City:	County: Zip Code:	
E-mail Address:	Phone Number: ()	
	State of Issuance: Alien Registration No.:	
SSN:	Percentage of Ownership in business:%	
Have you ever pled guilty, plead no contest, or	r been convicted of a Felony?   YES   NO	

# Secondary Owner/Partner/Member/Stockholder/Family Member Information

#### Use these sections to

- (1) list any other person(s) considered owners (Example: Wife/Husband, Brother/Sister, Son/Daughter, etc.) OR
  - (2) to list any other business partners, LLC members, company officers, stockholders, etc. Please note it is also recommended that the person(s) are also listed on your sales and use tax permit.

#### **USE ADDITIONAL PAGES AS NECESSARY**

<b>Business Primary Contact Information</b>		If different from owner,	If different from owner/President/CEC	
Name:	ne: Date of Birth:/			
Home Address: (Must be a numeric a		Suite/Unit #:		
City:	County:	Zip Code:		
E-mail Address:	Phone N	Jumber: (		
Driver's License/ID Number:	State of Issuance:	Alien Registration No.:		
SSN:	Percentage of	f Ownership in business:	%	
Have you ever pled guilty, pled no conte	est, or been convicted of a Felony?	□YES □NO		
Officer/Partner Information:				
Name:		Date of Birth:/	_/	
Home Address:		Suite/Unit #:		
·	ddress plus street name; CANNOT be a P.	* '		
City:	County:	Zip Code:		
E-mail Address:	Phone N	Jumber: (		
Driver's License/ID Number:	State of Issuance:	Alien Registration No.:		
SSN:	Percentage of	f Ownership in business:	%	
Have you ever pled guilty, pled no conto	est, or been convicted of a Felony?	□YES □NO		
Officer/Partner Information:				
Name:		Date of Birth:/	_/	
Home Address:				
· ·	ddress plus street name; CANNOT be a P.	* '		
City:	County:	Zip Code:		
E-mail Address:	Phone N	Jumber: (		
Driver's License/ID Number:	State of Issuance:	Alien Registration No.:		
SSN:	Percentage of	f Ownership in business:	%	
Have you ever pled guilty, pled no conte	est, or been convicted of a Felony?	□YES □NO		

# **Important Information**

Please note that this application <u>MUST</u> be completed in its entirety. Any application that is submitted that is incomplete will <u>not</u> be accepted and will be returned to the applicant. Please review the application and the accompanying checklist to ensure that you have provided all required information and documentation for application submission.

By accepting a permit from Arkansas Tobacco Control, the permit holder is agreeing that they, and their business, will follow all lawful requirements and furthermore acknowledging that they understand that Arkansas Tobacco Control Agents, Federal Tobacco Control Agents, or any other lawful Tobacco Control Entity will periodically conduct inspections and compliance checks to ensure compliance with the law.

FREE Retail Training is available through our office. Please contact us if you wish to send your staff to a free training event or arrange a free training event for your location.

## **Legal Responsibility - Application Signature Page**

By dating and signing below, I certify and attest that I am an owner or duly authorized agent, partner, officer, or Applicant and hereby declare and sign under penalty of law that the information provided on this application (all pages 2 through 9, including the Application Checklist) is true and correct to the best of my knowledge and belief, and that the Applicant is a Wholesaler in fact. The undersigned applicant also agrees to faithfully comply with all local, state, and federal laws including, but not limited to, the "Unfair Cigarette Sales Act," A.C.A. § 5-27-227 (controlling the provision of minors with tobacco products and cigarettes and the placement of tobacco vending machines), the "Arkansas Tobacco Products Tax Act of 1977," all rules promulgated pursuant thereto, and all lawful orders of the Arkansas Tobacco Control Board. Applicant understands and agrees that any intentional false or misleading information, of any nature or relative to any item, will be sufficient grounds for denial or subsequent revocation of the permit for which he/she is applying.

Date:			
	Signature of Owner or Authorized Repres	sentative – (Please s	ign in notary's presence)
	Printed Name of Owner or Authorized Re	epresentative	
	Business Title of Owner or Authorized Ro	epresentative	
	Notary Information		
Subscribed and sworn to	before me, a Notary Public, by		, to me well known or
identified to me by gover	nment issued photo identification, on this	day of	, 20
My Commission Expires	: Name:		
Please place Notary Stamp in box			

# **Manufacturer Cigarette Only Application Checklist**

(This document **MUST** be submitted with application)

Completed Application. The Applicant must complete and sign the Application for Vapor Product and E-Liquid Product Exclusive Permit (Manufacturer, Wholesaler, and Retailer) for submission to Arkansas Tobacco Control.
Payment. A Check or Money Order must be sent with the Application. Please ensure both the Check or Money Order is completely and accurately filled out. NO CASH PAYMENTS.
COLOR copies of DL or ID. Attach to Application color copies of Drivers' License or ID for <i>all</i> listed owners, members and partners. Work Permit, Visas and Alien ID cards are also acceptable. If dropping off application in person, copies can be made in the Tobacco Control office.
Secretary of State's Office filings. Attach to Application copies of Secretary of State's Office filings: All proof of registration documents (if Corporation, LLC or Partnership), fictitious/DBA name filings, and copies of Articles of Incorporation, Operating Agreements, or Partnership Agreements.
Proof of Financial Responsibility. Attach to Application a Dunn & Bradstreet Report reflecting the financial status of the Applicant, a Standard Compilation Report of financial statements prepared by an independent Certified Public Accountant or current copies of Federal tax returns for the business.
Proof of ownership/right to occupy and Proof of Offices and/or Warehouses. Attach to Application copies of any deed, lease, rental agreement, bill of sale, mortgage, purchasing agreement or other documentation (e.g., proof of right to occupy and use the permitted premises) of all offices, buildings and warehouses from where business will be conducted and/or where inventory and/or files will be stored.
Photographs of Buildings/Structures. Attach to Application photos of the outside front, sides and rear of all buildings or structures to be used for storage of vapor products and/or E-Liquid Products inventory or files

NOTICE: ALL ARKANSAS TOBACCO CONTROL PERMITS EXPIRE JUNE 30TH AFTER DATE OF ISSUE AND MUST BE RENEWED EACH YEAR